



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re the Application of:

Confirmation No.: 3284

John S. HENDRICKS et al.

Group Art Unit: 2135

Application No.: 09/400,296

Examiner: Beemnet W. DADA

Filed: September 21, 1999

Attorney Docket No.: 026880-00018

For: ELECTRONIC BOOK SECURITY AND COPYRIGHT PROTECTION
SYSTEM

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Date: January 26, 2007

Sir:

Pursuant to 37 CFR §1.56, the attention of the U.S. Patent and Trademark Office is hereby directed to the information items listed on the attached PTO/SB/08. Unless otherwise indicated herein, one copy of each item is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the items be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

☐ 1. This Information Disclosure Statement is being filed (a) within three months of the U.S. filing date, OR (b) before the mailing date of a first Office Action on the merits in the present application, OR (c) accompanies a Request for Continued Examination. No certification or fee is required.

☒ 2. This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection or Notice of Allowance.

☐ a. I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(1).

☐ b. I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(2).

- ☒ c. Check number 460102 in the amount of \$180.00 is attached for payment of the fee under 37 CFR §1.17(p). Please charge any fee deficiency or credit any overpayment to Deposit Account Number 01-2300, referencing Attorney Docket Number 026880-00018, as needed to ensure consideration of the disclosed information.
- ☐ 3. This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of a Final Rejection or Notice of Allowance, but before payment of the Issue Fee. Applicants hereby petition that the Information Disclosure Statement be considered. Attached is our check number in the amount of \$180.00 in payment of the petition fee under 37 CFR §1.17(i)(1). Please charge any fee deficiency or credit any overpayment to Deposit Account Number 01-2300, referencing Attorney Docket Number , as needed to ensure consideration of the disclosed information.
- ☐ a. I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(1).
- ☐ b. I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(2).
- ☒ 4. The references are cited in corresponding foreign applications, and related U.S. and foreign applications.
- ☒ 5. Copies of the foreign patent documents and the publications are on the attached CD.

Respectfully submitted,



Sam C. Olive
Registration Number 59,903

Customer Number 004372
ARENT FOX LLP
1050 Connecticut Avenue, NW, Suite 400
Washington, DC 20036-5339
Telephone: 202-857-6000
Fax: 202-638-4810

SCO:vmh

Enclosures: Check Number 460102
PTO/SB/08a – U.S. Patent Documents (13 pages)
PTO/SB/08a – Foreign Patent Documents (5 pages)
CD

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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
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Form PTO/SB/08a

Complete if Known

Application Number	09/400,286
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First Named Inventor	John S. HENDRICKS et al.
Art Unit	2135
Examiner Name	Beemnet W. DADA
Attorney Docket Number	026880-00018

of 13

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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		3,869,873		n/a	Ringstad	
		3,891,792		n/a	Kimura	
		3,978,470		n/a	McGuire	
		4,071,697		01-1978	Bushnell et al.	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code. ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language translation is attached. AB indicates that only an English language abstract is attached.

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		5,056,138		n/a	Tyson, Sr.	
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		5,124,980		6/23/1992	Maki	
		5,130,792		7/14/1992	Tindell, et al.	
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		5,235,419		8/10/1993	Krause et al.	
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Application Number	09/400,286
Filing Date	September 21, 1999
First Named Inventor	John S. HENDRICKS et al.
Art Unit	2135
Examiner Name	Beemnet W. DADA

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Application Number	09/400,286
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INFORMATION DISCLOSURE
STATEMENT BY APPLICANT
Form PTO/SB/08a

Application Number	09/400,286
Filing Date	September 21, 1999
First Named Inventor	John S. HENDRICKS et al.
Art Unit	2135
Examiner Name	Beemnet W. DADA
Attorney Docket Number	026880-00018

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First Named Inventor	John S. HENDRICKS et al.
Art Unit	2135
Examiner Name	Beemnet W. DADA
Attorney Docket Number	026880-00018

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				Art Unit	2135
				Examiner Name	Beemnet W. DADA
Sheet	4	of	5	Attorney Docket Number	026880-00018

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